

1 IN THE UNITED STATES DISTRICT COURT  
2 FOR THE EASTERN DISTRICT OF TEXAS  
3 MARSHALL DIVISION

4 CENTOCOR, INC., ET AL., ) (  
5 ) ( CIVIL DOCKET NO.  
6 ) ( 2:07-CV-139-TJW  
7 VS. ) ( MARSHALL, TEXAS  
8 ) (  
9 ) ( FEBRUARY 26, 2009  
10 ABBOTT LABORATORIES ) ( 9:00 A.M.

11

12 CLAIM CONSTRUCTION HEARING  
13 BEFORE THE HONORABLE JUDGE JOHN WARD  
14 UNITED STATES DISTRICT JUDGE

15

16 APPEARANCES:

17

18 FOR THE PLAINTIFF: (See Attorney Sign-In Sheet)

19

20 FOR THE DEFENDANT: (See Attorney Sign-In Sheet)

21

22 COURT REPORTER: MS. SHELLY HOLMES, CSR  
23 Deputy Official Court Reporter  
2593 Myrtle Road  
24 Diana, Texas 75640  
(903) 663-5082

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1 COURT SECURITY OFFICER: All rise.

2 THE COURT: Please be seated. Morning  
3 Counsel.

4 All right. We've got a claim construction  
5 hearing in 2:07-CV-139. That's Centocor, Incorporated,  
6 versus Abbott.

7 What says the plaintiff?

8 MR. SAYLES: May it please the Court, Dick  
9 Sayles for Centocor and New York University. We are  
10 ready. And with the Court's permission, may I introduce  
11 our team?

12 THE COURT: Okay.

13 MR. SAYLES: Seated at counsel table is  
14 Dianne Elderkin, who will be our lead today and will be  
15 presenting to the Court. This is Barbara Mullin.

16 MS. MULLIN: Good morning, Your Honor.

17 MR. SAYLES: And this is Matt Pearson. Each  
18 of these lawyers is from the Woodcock Washburn law firm.  
19 Mr. Ken Dow over in the corner is with Centocor. He is  
20 the vice president of intellectual property law. And,  
21 of course, Ms. Henson and Mr. Strachan from my office.

22 THE COURT: Okay. Thank you, Mr. Sayles.  
23 Defendant Abbott Labs?

24 MR. RICHARDSON: Good morning, Your Honor,  
25 Michael Richardson. We are ready to proceed. With me

1 today is Bill Lee from Wilmer Hale.

2 MR. LEE: Good morning, Your Honor.

3 MR. RICHARDSON: He'll be taking the lead.

4 Amy Wigmore and Bill McElwain. We also have here from

5 Abbott Peter Witty and Eric Martin, and also Jamaica

6 Szeliga.

7 THE COURT: Thank you, Counsel.

8 Mr. Richardson, tell Mr. Beck that I'm a

9 little upset that he wasn't here. I wanted to have his

10 views on chimeric antibodies today. I'm sure that he

11 would have something valuable to say to all of us.

12 MR. RICHARDSON: He would have something

13 very valuable.

14 THE COURT: Okay. Well, you tell him that I

15 noted that for the record.

16 MR. RICHARDSON: He said to let you know

17 that he'd be teeing off at about 9:30 today.

18 THE COURT: That's probably fortunate for

19 all of us.

20 All right. We'll hear from -- we've got you

21 an hour and a half per side, and so we'll hear from the

22 plaintiff. The Court has read the patents twice. It's

23 very interesting. I wish I understood. And I've been

24 through, of course, your tutorials, and with staff, I've

25 been through all the briefing with their doing most of

1 the heavy lifting on that. So it is a challenging  
2 patent for the Court.

3 MS. ELDERKIN: For all of us, Your Honor.

4 May it please the Court. Again, I'm Dianne  
5 Elderkin. I'm here to present the argument for the  
6 plaintiffs, Centocor and NYU. I'll be referring to them  
7 jointly as Centocor for the most part.

8 Of course, there are two patents involved in  
9 the lawsuit here. The '775 and '239 patent. They are  
10 co-owned by Centocor and by New York University, and the  
11 inventions disclosed in these patents relates to certain  
12 antibodies to a protein called tumor necrosis factor  
13 alpha or what we refer to in shorthand as TNF.

14 And as you'll hear as this case proceeds,  
15 Centocor and NYU contend that these patents cover  
16 Centocor's commercial product, Remicade, and also covers  
17 Abbott's commercial product, Humira.

18 I think it's fair to characterize both of  
19 these products as wonder drugs because each of these  
20 single antibodies can be used to treat a number of  
21 chronic debilitating diseases as varied as rheumatoid  
22 arthritis, Crohn's disease, which is a horribly  
23 debilitating disease of the gastrointestinal system, and  
24 psoriasis.

25 The common factor in each of these diseases

1 is that they result from an overproduction in the body  
2 of the protein TNF. TNF, of course, is a naturally  
3 occurring protein. It's involved in your immune system,  
4 but when it's overproduced, it can cause problems. The  
5 invention disclosed and claimed in the two  
6 patents-in-suit relate to a certain defined class of  
7 antibodies that bind to TNF in a way that causes it to  
8 lose its biological activity.

9           Now, just to step back for a minute about  
10 how this invention came about. It started with two  
11 researchers at NYU, Dr. Le and Dr. Vilcek, who worked to  
12 find a mouse or murine antibody that had this effect on  
13 TNF that could bind to TNF and neutralize its biological  
14 activities. And doing that is sort of like looking for  
15 a needle in a haystack because the body, whether it's  
16 human or mouse, can make thousands of antibodies to a  
17 particular target, but what they did is they finally  
18 found an antibody that did bind to TNF, bound strongly  
19 enough to TNF, bound to TNF in the right way that it  
20 would neutralize the activity of TNF. And the antibody  
21 that Dr. Vilcek and Dr. Le found, this mouse or murine  
22 antibody, is called A2.

23           Then the inventors at Centocor got involved  
24 to make a recombinant antibody based on that A2 mouse  
25 antibody. They used genetic engineering techniques to

1 make a chimeric antibody that was based on A2. So what  
2 they did was they found a way to replace a portion of  
3 the A2 antibody, the constant region, which is the --  
4 you remember the Y structure of an antibody, the  
5 constant region is the base of the Y and starts up on  
6 the branches.

7               So the Centocor inventors found a way to  
8 replace the constant region of the A2 antibody with a  
9 portion of the antibody encoded by human DNA. So the  
10 resulting antibody is said to have a human constant  
11 region. And this genetically engineered body was called  
12 cA2, C for chimeric, and A2 because it's based on the  
13 original A2 murine antibodies. And cA2 is the preferred  
14 disclosed embodiment in the patents-in-suit. It is also  
15 the antibody that is in Centocor's commercial product,  
16 Remicade.

17              Now, as I will explain, what Abbott is  
18 attempting to do with its claim constructions is to  
19 limit the scope of the two patents-in-suit to a single  
20 embodiment, to the cA2 antibody, and that's the theme  
21 that runs through almost all of the claim construction  
22 disputes that the parties have.

23              I put up on the screen Claim 1 of the '775  
24 patent. It contains many of the claim terms that are in  
25 dispute between the parties, but it also provides a nice



1 framework for just how are the antibodies of this  
2 invention claimed. Now, the inventors could have just  
3 claimed cA2 antibody, but they didn't. They claimed a  
4 group of antibodies based on the characteristics of the  
5 cA2 antibody. And as an example, in the claim here,  
6 some of the highlighted language is particularly  
7 relevant. The claim refers to an anti-TNF antibody.  
8 That's a term in dispute that we'll discuss.

9           It says that the antibody has a -- comprises  
10 a human constant region. That's a term that is not in  
11 dispute, and you'll recall that the cA2 antibody that I  
12 just discussed does have a fully human constant region.  
13 It is completely derived from human DNA, and there's no  
14 dispute between the parties that human in the case of  
15 the human constant region in the preferred embodiment is  
16 fully human or includes fully human.

17           And then the rest of the claim talks about  
18 how and in what way the claimed antibodies bind to TNF.  
19 It talks about the competitive inhibition, which we'll  
20 get to. It also says that this antibody must bind to a  
21 neutralizing epitope of the TNF and that it must have a  
22 certain affinity.

23           So these are the ways that the claims  
24 characterize the antibodies. Not all of the claims --  
25 for example, the claims in the '239 patent don't include

1     that -- don't all include the recitation of affinity,  
2     but this claim fairly sets forth many of the terms in  
3     dispute.

4             The first term that I'll address is just  
5     what is the meaning of anti-TNF antibody? And there are  
6     three other terms that don't appear in Claim 1 which  
7     Abbott, in its briefing, grouped with its discussion of  
8     the anti-TNF antibody, and so we'll address them in that  
9     grouping, as well. And this slide, No. 6, shows all of  
10    those terms. Anti-TNF alpha antibody, but then these  
11    other terms that appear in some of the dependent claims,  
12    human variable region is, human light chain, and human  
13    heavy chain. And what we've shown in this slide is what  
14    Centocor's proposed constructions are for these terms.

15            For Anti-TNF alpha antibody, very simply  
16    that it is an immunological protein which, to be honest,  
17    is another word for antibody that binds to TNF alpha.

18            For the human variable region, the human  
19    light chain, and the human heavy chain, the parties  
20    don't dispute what a variable region, what a light chain  
21    is, what a heavy change is. The dispute centers around  
22    what does human mean?

23            Centocor's constructions require that the --  
24    that human means that this particular region or chain  
25    are encoded by a gene derived from human DNA. And what

1     that means -- what encoded means is that the DNA -- the  
2     DNA has the instructions for making an antibody, and if  
3     the DNA which makes this particular antibody is derived  
4     from human DNA, then this region is encoded by a gene  
5     derived from human DNA.

6                 Now, the common thread distinguishing  
7     Centocor's constructions of these terms from Abbott's  
8     constructions is that Abbott wants to insert limitations  
9     that would exclude fully human antibodies from either  
10    the discussion that the definition of anti-TNF antibody  
11    or would exclude the possible -- possibility of a fully  
12    human variable region, a fully human light chain, or a  
13    fully human heavy chain.

14                I can explain why that is not appropriate,  
15    but, first, there are a few places where there's some  
16    agreement. It's always nice to get to that. This slide  
17    shows the party's construction for anti-TNF antibody,  
18    and, obviously, both parties agree that this antibody  
19    needs to bind to TNF alpha, so there's no dispute about  
20    that.

21                In Centocor's construction, it's referred to  
22    as an immunological protein. Abbott refers to it as an  
23    antibody. In that regard, there is really no  
24    disagreement. Those things are pretty much  
25    interchangeable, but, of course, here's where the real

1 distinction is. Abbott with its construction of  
2 anti-TNF antibody is attempting to read extraneous  
3 limitations into the claim. It's attempting to limit  
4 this claim not to any type of antibody but only to  
5 murine, which is a mouse antibody, or chimeric, which it  
6 defines as an antibody that has DNA sequences from  
7 different species.

8           And if you recall, this is a screen shot  
9 from Abbott's tutorial. If you recall, they talked  
10 about the different types of antibodies. There is a  
11 fully mouse antibody. That was -- that's what they  
12 depicted in green, a murine antibody, a type of chimeric  
13 antibody, such as cA2. Centocor's preferred embodiment  
14 is shown in the middle in the top row here, and there  
15 there is a constant region. The human region is -- I'm  
16 sorry, the constant region is human derived from human  
17 DNA. The variable regions are shown in green, and they  
18 are still from a different species, from mouse. The  
19 next antibody on the top right is what is frequently  
20 called a humanized antibody. There it has a human  
21 constant region. The variable region is mostly human,  
22 but the very tip of the variable region, the region that  
23 binds to the antigen, also called the CDR, is mouse, and  
24 then at the bottom you have a fully human antibody.

25           So there's no dispute that these are all

1     antibodies. The dispute is that Abbott wants to define  
2     the term antibody in the claim as excluding the bottom  
3     one there, the fully human derived antibody.

4                 Now, again, since I said that the  
5     constructions of these other terms are all interwoven  
6     with the anti-TNF antibody, let me quickly look at the  
7     party's different constructions for these other terms.

8                 Human variable region, again, there's no  
9     dispute between the parties as to what a variable region  
10    is. This is a diagram for Centocor's tutorial. The  
11    variable region is shown in blue, the constant region in  
12    green, and the different depictions here -- the stick  
13    figure is sort of an easy depiction, but a more  
14    realistic slightly more three dementia figure of what an  
15    antibody actually looks like is shown in the large  
16    figure on the right.

17                So there's no dispute between the parties  
18    about what a variable region is. The dispute here is  
19    that Abbott says -- I'm sorry, let me go -- this is a  
20    screen shot from Abbott's tutorial. And, again, the  
21    same thing, they don't dispute that the variable region  
22    is the top part of the Y going all the way out to the  
23    end of the Y, and the constant region is below that.

24                The dispute between parties in this  
25    construction, again, is that Abbott wants the human

1 variable region to actually have a nonhuman portion,  
2 that the CDR, the binding region, must be grafted from a  
3 nonhuman species. You recall that the CDR, as depicted  
4 in this figure from our tutorial, again, is just the  
5 small part at the end of the variable region, and that's  
6 the important region which binds to the antigen or the  
7 TNF.

8           Much the same dispute exists with respect to  
9 the construction of human light chain and human heavy  
10 chain. For both of these, there's no dispute between  
11 the parties what human -- I mean, what heavy chain and  
12 light chain mean. As depicted in our tutorial, the  
13 heavy chain is the portion of the antibody that forms a  
14 Y structure. The light chain are two chains that are  
15 attached to the branches of the top of the heavy chain.

16           The only dispute between the parties is  
17 whether the heavy chain and the light chain must also  
18 have some nonhuman portions, even though the claim  
19 refers to human heavy chain and human-human light chain.

20           So why are Abbott's attempts to incorporate  
21 extraneous limitations wrong? Well, first of all, it's  
22 improper to limit the plain and ordinary meaning of the  
23 claim language absent a clear intent to limit scope.  
24 The claim refers to an antibody. It doesn't limit it to  
25 a murine antibody or a chimeric antibody. The claim

1 refers to heavy constant regions -- I mean, to heavy  
2 light -- heavy light -- sorry. Human light chain, human  
3 heavy chain, and human variable regions. It doesn't say  
4 partly human. So the plain language is very clear. So  
5 there has to be some very clear intentions to limit the  
6 scope, and that's very clear from the case law that's  
7 cited in our brief.

8           And the cases that Abbott cites really are  
9 not on point. The SciMed case that they cite makes it  
10 clear there has to be a very strong indication of  
11 disavowal to depart from the plain meaning of the  
12 language. In the SciMed case, it was a medical  
13 instrument that involved two lumens or tubes, and the  
14 issue is whether the tubes could be coaxial, one within  
15 the other, or whether they had to be side-by-side in the  
16 instrument.

17           And the Court -- the Federal Circuit said  
18 that the Court had properly construed the claims as  
19 limited to coaxial because the patent described the  
20 invention and all embodiments of the invention as having  
21 a coaxial lumen. This Court found that was the kind of  
22 plain and clear disavowal that would allow you to read  
23 in limitations to the claim.

24           Another case cited by Abbott that is also  
25 not instructive for our fact situation is the

1   Astrazeneca case. In Astrazeneca, the general summary  
2   or description in the patent described a feature of the  
3   invention and criticized other products that lacked that  
4   same feature. So that operated as a clear disavowal.  
5   There is no clear disavowal here.

6               The best disclaimer or evidence -- purported  
7   evidence of a disclaimer that Abbott can find that's  
8   referenced in its brief is a statement that was made in  
9   the first patent application that Centocor filed in  
10   1991, which doesn't even appear in the patent anymore.  
11   And that is a statement that was made in the originally  
12   filed application, the '827 application, but  
13   subsequently removed, and all that statement does is  
14   note that a certain existing method for producing human  
15   antibodies had some drawbacks. But the '827  
16   specification also described a solution to that problem  
17   using certain recombinant DNA technology to isolate an  
18   antibody gene from a human B cell, and that's at Exhibit  
19   12 at 23.

20              Further the '827 patent also describes  
21   potential advantages of using human antibodies instead  
22   of mouse antibodies in terms of greater utility for  
23   treating chronic conditions. So this is far from the  
24   kind of unequivocal statement that the cases like SciMed  
25   and Astrazeneca need for disclaimer.



1           It's also apparent that there's no  
2 disclaimer from the fact that the specification of the  
3 patents actually discloses human antibodies, and here on  
4 Slide 20 we show an excerpt from Column 5 of the patent  
5 where it says, Anti-TNF antibodies are intended to  
6 include a number of antibodies, and it mentions human  
7 antibodies.

8           Abbott has cited no case where the Federal  
9 Circuit has said it's okay to insert a narrowing  
10 limitation where the patent itself says that something  
11 is included. Further, the patent discloses human  
12 regions. This is an excerpt from Column 12 of the  
13 patent, and it talks about technique used to raise  
14 antibodies of the present invention, and it says such  
15 antibodies include human-human antibodies.

16           Now, what -- what Abbott is trying to argue  
17 that when the patent refers to human-human antibodies,  
18 generally, with this hyphenated nomenclature here like  
19 murine-human, it's the murine variable region and a  
20 human constant region. So what Abbott is trying to say  
21 is that when there's a reference human, hyphen, human,  
22 the first human really means, well, not all human  
23 because it has a mouse CDR region.

24           The problem with that is it's internally  
25 inconsistent. If the second part of the human in the

1 human, slash, human we know includes fully human as the  
2 fully human constant region in the preferred embodiment,  
3 how does it make sense, then, to construe human before  
4 the hyphen to mean something different? The answer is  
5 it doesn't make sense.

6 THE COURT: What do you say, though, about  
7 where you define -- where the patent defines chimeric as  
8 being from a different species, and then later on in the  
9 patent, it says -- it talks about a chimeric antibody  
10 including a human-human?

11 MS. ELDERKIN: The patent is not entirely  
12 consistent in that regard, and we admit that.

13 THE COURT: I think that's a fair statement  
14 that it's not consistent. You think I can just  
15 disregard that inconsistency or what?

16 MS. ELDERKIN: No, no, we contend that when  
17 someone skilled in the art reads the patent in its  
18 entirety, that it would be apparent that the inventors  
19 intended to include and that the patent describes fully  
20 human antibodies. Let me -- let me find the right  
21 disclosure of that to point to you.

22 THE COURT: Well, chimeric is defined at the  
23 bottom of Column 10, it looks to me about Line 64. And  
24 then -- then I find conflicts over at Column 20 at about  
25 Line 45.

1 MS. ELDERKIN: May I go over and get my  
2 copy?

3 THE COURT: Absolutely. I have a habit of  
4 not trying to mark my patent up. Whatever column you  
5 throw up on the screen, make sure that I have at least  
6 marked that as something I ought to be looking at. So I  
7 wanted to ask you about those.

8 MS. ELDERKIN: And, I'm sorry, Your Honor,  
9 you're looking at the bottom of Column 10.

10 THE COURT: Yeah. It says --

11 MS. ELDERKIN: Right.

12 THE COURT: That's where it defines in the  
13 patent chimeric, correct?

14 MS. ELDERKIN: Well, there is -- there is a  
15 reference to chimeric antibodies there. We will point  
16 out --

17 THE COURT: You don't think that's an  
18 express definition of chimeric?

19 MS. ELDERKIN: Actually, we would refer to  
20 the top of Column 14.

21 THE COURT: Column 14, all right. Let me  
22 get there. Just a minute.

23 MS. ELDERKIN: Okay.

24 THE COURT: Okay. There. That's right.

25 MS. ELDERKIN: And there the term is

1 actually used in quotes, as is the case in other parts  
2 of the patent where definitions are being provided, and  
3 at the top of Column 14 --

4 THE COURT: Well, it says it includes  
5 monovalent, divalent, and polyvalent, but that doesn't  
6 seem that it's really defining it there. That's a  
7 characteristic. It can include any one of those three  
8 bondings, correct?

9 MS. ELDERKIN: I'm sorry, Your Honor. I'm  
10 trying to find the...

11 THE COURT: Well, I don't want to take up  
12 too much of your time. You told me -- you acknowledged  
13 to me that there are some inconsistencies, and I'm  
14 trying to determine how I'm going to handle that or how  
15 you would suggest I'm going to -- what I'm going to say  
16 that's going to end up in the Federal Circuit and pass  
17 muster, you see. That's what I'm -- I get down to sort  
18 of that level.

19 How would you -- what would you think would  
20 explain this inconsistency to the extent that I could  
21 adopt your position? That's what -- I mean, that's  
22 really what I'm asking you.

23 MS. ELDERKIN: Well, I think there are  
24 several things, and I will -- I see that on my next  
25 slide I get to the section I was looking for. So I'm

1       sorry for the disarray here.

2                       First of all, we would argue -- of course,  
3       the term chimeric is not a claim term, so it's not in  
4       the claim. The claim talks about human regions, human  
5       chains, and antibodies. So whether or not a chimeric  
6       antibody includes mouse parts -- must include mouse  
7       parts are not is an interesting issue, but we're not  
8       really defining what the term chimeric means. We're  
9       defining what the plain meaning of the terms in the  
10      claim are and whether there is a clear disavowal based  
11      on what's in the specification.

12                     So even though we would grant that there are  
13      statements in the patent that, frankly, we wish were not  
14      there, there are other statements that support our  
15      position that a chimeric antibody can include an  
16      antibody that's completely from human sources but from  
17      two different human sources. The chimeric really  
18      requires that you have two different sources of the DNA.  
19      It's not necessary that they be from two different  
20      species.

21                     And an example of that is -- support for  
22      that is what I show here on Slide 22. This is a  
23      statement from Column 14 -- bottom of Column 14 to the  
24      top of Column 15 of the patent.

25                     THE COURT: Just a minute. Let me make sure

1 I've got that marked.

2 MS. ELDERKIN: Sure.

3 THE COURT: Okay. It goes from 14, 64.

4 MS. ELDERKIN: Right. To Column 15, Line 1.

5 What this is saying is basically disclosing how you  
6 could make human constant -- human CDR as binding  
7 regions that would be in the variable region of the  
8 antibody. It says that the antibody producing cell  
9 contributing the nucleotide sequences encoding the  
10 antigen binding region, again, that's that CDR region,  
11 can be produced by transformation of a nonhuman cell or  
12 a human cell.

13 So this is saying that you can get those  
14 binding regions. The CDR region, which Abbott is  
15 saying, oh, it has to be mouse, it has to be nonhuman.  
16 This is saying, well, you can get it from a human cell.

17 Now, Abbott, we suspect, based on something  
18 its expert said, may argue that you can contort the  
19 reading of this to mean that, well, you would take mouse  
20 DNA and put it into the human cell, but their -- their  
21 expert never explained why one would go through that  
22 kind of convoluted procedure to obtain a CDR region.

23 So we contend that this Column 14, which was  
24 in the very first patent application filed, this  
25 statement, is support that one reading the patent with

1 everything that's in there, good and bad, would look at  
2 this and understand that, well, this is covering fully  
3 human -- the claims have to encompass fully human  
4 variable regions and fully human CDR regions.

5 THE COURT: Thank you.

6 MS. ELDERKIN: Another thing that is telling  
7 here is that Centocor could have claimed partially human  
8 if it had intended to do so. There is reference in the  
9 patent, for example, Column 6, Lines 27-32, to chains  
10 that have at least part human constant and at least part  
11 of a variable region of nonhuman origin. So it could  
12 have claimed partly human if that's what it intended to  
13 do, but it didn't use that language. It used the  
14 broader language, human, which it broadly, as we  
15 construe it, means partially -- it means derived from  
16 human DNA.

17 Now, Centocor -- Abbott in its brief also  
18 pointed at 18 -- Page 18 of its brief, also pointed to  
19 language in this specification that it says defines the  
20 invention in places as limited to chimeric and murine  
21 antibodies. Again, we had the dispute over whether  
22 chimeric must mean at least partially nonhuman. We  
23 disagree that it does. But even if it did, we'd have  
24 two other points in response to those arguments.

25 First of all, the statements in the

1 specification that they refer to are not restrictive.  
2 The patent does disclose murine and murine-human  
3 antibodies, and those passages can be read simply as  
4 referring to those particular embodiments. And the  
5 Anderson case that Abbott cites, I believe on Page 18,  
6 as support for limiting the claims to the particular  
7 embodiments disclosed, again, is not on point. In  
8 Anderson, the claims were limited to certain features  
9 because the patent specification required -- made it  
10 clear that those features were required, and that,  
11 again, is not the case here.

12           So to try to summarize some of the reasons  
13 why Abbott's attempt to incorporate extraneous  
14 limitations into the claim is wrong, it's improper under  
15 the law to limit the plain and ordinary meaning of the  
16 claim terms absent -- absent clear intention to limit  
17 the scope. And there's no evidence that there was such  
18 a clear intention -- a clear disavowal here.

19           Abbott's attempt to limit the recitation of  
20 human when it refers to variable region or light chain  
21 or heavy chain is inconsistent with the recitation of  
22 human as defining the constant region of the claim.  
23 Again, there's no dispute between the parties that a  
24 human constant region can encompass a fully human  
25 constant region. So where the word human is used in



1 Claim 1 to refer to the constant region, no dispute, it  
2 can be fully human.

3 On what basis, then, can Abbott say that the  
4 term human, when it's used in Claim 2 or 3 to refer to  
5 the variable region or light chains or heavy chains has  
6 to mean something else? When a term is used -- terms  
7 are generally used consistently in claims, and there's  
8 no good reason not to do so here.

9 Abbott's construction would exclude  
10 disclosed embodiments. We think maybe they backed away  
11 from this position. Their construction would exclude  
12 murine antibodies. I think that's right. No, I'm  
13 sorry, their construction would -- I'm sorry, yes, it  
14 would exclude disclosed embodiments because, as I just  
15 explained, there are embodiments disclosed or at least  
16 mechanisms disclosed for making antibodies that have  
17 human CDR regions.

18 And, finally, Abbott improperly seeks to  
19 raise new matter issues. They discuss in their brief  
20 when certain points in statements in the specification  
21 were added, and saying, well, because this wasn't in the  
22 original application and wasn't added until such and  
23 such a date, you can't rely on it. I suspect that's an  
24 argument we may have at a later date, but that's not an  
25 issue here on --

1                   THE COURT: I anticipate this will not be  
2 the last time that I hear this.

3                   MS. ELDERKIN: It's not an issue, though,  
4 Your Honor, for claim construction.

5                   THE COURT: I agree with you about that.

6                   MS. ELDERKIN: Okay. Then I'll say nothing  
7 more on that.

8                   THE COURT: I don't think it's an issue for  
9 today, but it is obviously an issue.

10                  MS. ELDERKIN: Great. So, in summary,  
11 Centocor's claim constructions, we think, are the  
12 appropriate ones, that they are consistent with the use  
13 of the terms in the specification with the plain  
14 meaning, no extraneous limitations being brought in, and  
15 we would ask the Court to adopt those constructions for  
16 the terms anti-TNF antibody, human variable region,  
17 human light chain, and human heavy chain.

18                  The next term I'd like to discuss, and  
19 there's really not much dispute on this, but it's sort  
20 of a predicate for discussing competitive inhibition  
21 where there is a dispute, and that is the term binds to  
22 a neutralizing epitope. What is a neutralizing epitope?

23                  And, again, there's very little daylight  
24 between the parties' constructions of this term. We  
25 both agree that there's some aspect of binding to TNF in

1 a way that there's a loss of biological activity. The  
2 real difference is that Centocor's construction defines  
3 it as a noun. Abbott's construction is more functional.  
4 It's an important distinction only because the fact that  
5 the patent does recite that there's binding to a  
6 neutralizing epitope is going to be important when it  
7 gets to discussing the next term, competitive  
8 inhibition. It's important that the patent does say the  
9 antibody that's covered by this claim has to bind to --  
10 has to bind to TNF. It has to bind to a neutralizing  
11 epitope. So that's all I'll say about that.

12 I'll turn, then, to competitive inhibition  
13 because there is substantial disagreement between the  
14 parties on the construction of this term. So, again,  
15 this -- what the claim language is is competitively  
16 inhibits binding of A2, and A2, again, is the mouse  
17 antibody, to human TNF alpha. And there's a  
18 parenthetical expression there after A2, and it says,  
19 ATCC Accession No. PTA-7045. And what that is, it's a  
20 reference to the cell line that expresses or makes the  
21 A2 antibody that's been deposited with a nonprofit  
22 organization, so it's available to the public. So  
23 there's no dispute between the parties over what that  
24 means.

25 Centocor's construction quite simply is,

1 well, competitively inhibits just means that competes,  
2 that whatever antibodies covered by this claim has to  
3 compete with A2 for binding to human TNF alpha. And the  
4 patent discloses a method for determining competitive  
5 inhibition. This is in Column 12 at Line 16 to 23. And  
6 the patent says, preferred methods for determining  
7 antibody specificity and affinity by competitive  
8 inhibition can be found in Harlow, the Harlow manual, a  
9 very established lab manual, which is actually  
10 incorporated by reference into the patent.

11               So Harlow describes an affinity -- a  
12 competitive inhibition test, and just that kind of test  
13 was carried out for the A2 antibody, and it was  
14 disclosed in the patent in Example X10 and in Figures 9A  
15 and 9B. And you might recall this figure from the  
16 tutorial that Centocor submitted talking about  
17 competitive inhibition.

18               This is a somewhat simplified version of the  
19 graph that's shown in Figure 9A of the patent, and  
20 what's happening here is TNF is the target. It's the  
21 antigen. What you do is you put that in a plate, it's  
22 adhered to a plate. The particular test in Figure 9A  
23 was carried out to determine if A2, the mouse antibody,  
24 competes with cA2, the chimeric antibody based on A2.

25               So what happens is in the first test, they

1 took A2, the mouse antibody, and they labeled it so that  
2 it could be detected, and they add it to the plate that  
3 TNF is bound to, and they allowed time to bind to the  
4 TNF, if it's going to do so, and then they wash off  
5 what's left, and then they measure -- because that A2 is  
6 labeled, they can measure how much of the A2 is bound  
7 there to the TNF. And that's the first point that you  
8 see on this graph on the Y axis. So only A2 is added,  
9 and there's 1.4 units of antibody bound to the target,  
10 so a lot of antibody bound to the target.

11 So then what they do is they take a mixture  
12 of A2, and they add some of the cA2 to it, and they  
13 apply that to a plate, allow time to bind, and wash it  
14 off, and then we see, well, how much A2 is bound now?  
15 And if it's less bound than was bound when there was no  
16 cA2 there, that suggests the two antibodies are  
17 competing. And they run -- they continue the test and  
18 run a series of tests with additional amounts of the  
19 test antibody, cA2, added each time to see what happens.

20 You get a curve in this particular case that  
21 looks like this. This is not an uncommon curve for a  
22 competition -- a competitive inhibition assay, and the  
23 experts would say this shows two antibodies compete with  
24 one another.

25 So Centocor's construction is that

1 competitive inhibition really means that the antibody  
2 competes with A2 for binding to TNF alpha and that this  
3 could be measured using a standard assay such as that  
4 described in the patent.

5           Abbott's proposed construction, I presented  
6 on this slide, Slide 32, and it's quite a mouthful. I  
7 can't get it all on a slide along with our construction.  
8 It's quite complicated, but let's try to break it down.  
9 The first part of Abbott's construction, there really is  
10 no dispute, the part I've highlighted in red. We agree  
11 with the ATCC accession number means and then a product  
12 of that self -- self line is the A2 antibody which binds  
13 to human TNF alpha.

14           We agree that an antibody competitively  
15 inhibits. One way to determine that is using a standard  
16 ELISA or equivalent assay. And if you recall from  
17 Abbott's tutorial, they describe a test much like I just  
18 described with the Harlow test where you have TNF bound  
19 to the plate and you add increasing amounts of the test  
20 antibody and see what happens to the binding. And they  
21 refer to that in their slide as a competitive inhibition  
22 experiment. So there's no dispute between the parties  
23 that that is a test for determining competitive  
24 inhibition.

25           At the bottom of this slide or the bottom of

1 the end of their construction, Abbott includes a  
2 definition of epitope, and we would merely note that  
3 that definition is inconsistent with the definition of  
4 epitope in the patent. It's not the same as the  
5 definition in the patent, which appears at Column 13,  
6 Lines 15 to 17, which says that the term epitope, in  
7 quotes, is meant to refer to that portion of any  
8 molecule capable of being recognized by and bound by an  
9 antibody at one or more of the antibodies' binding  
10 regions, so there is some difference in that.

11 But the real meat of the dispute has to do  
12 with Abbott's attempt to read in extraneous limitations.  
13 They want to read in a limitation that the antibody, to  
14 meet this competitive inhibition requirement, must bind  
15 to the very same epitope as the reference A2 antibody  
16 and also some quantitative limitations that it must  
17 bind -- it must compete as strongly for binding to A2 as  
18 does the A2 with itself. And we contend that there is  
19 no basis for doing this.

20 First of all, we contend Abbott is  
21 improperly trying to read in the term same epitope into  
22 this claim limitation. For one thing, the claim already  
23 defines the epitope to which the antibody binds. As I  
24 pointed out earlier, the claim says it binds to a  
25 neutralizing epitope of TNF. So if the claims have to

1 bind -- if the claimed antibodies have to bind to the  
2 same epitope as A2, that makes this language,  
3 neutralizing epitope, superfluous and unnecessarily  
4 because we know that A2 binds to a neutralizing epitope.

5           Further, none of the evidence that's cited  
6 by Abbott supports this same epitope limitation. So  
7 let's look briefly at what Abbott's relying upon. First  
8 of all, it's somewhat striking that Abbott's own expert  
9 admitted that Abbott's construction requiring same --  
10 same epitope binding is not consistent with the plain  
11 meaning. He was -- Dr. Marks was asked: So setting  
12 aside the patent specification here, in your opinion,  
13 someone skilled in the art would define the term  
14 competitive inhibition to require binding to exactly the  
15 same epitope? He said, No, not exactly the same  
16 epitope. Outside the specifications. No.

17           So the plain meaning clearly does not  
18 require what Abbott is trying to introduce into this  
19 claim construction. So is there something in the patent  
20 that requires it? Quite the contrary, the patent  
21 actually distinguishes between competitive inhibition  
22 and identical epitope. Here on Slide 39, we have an  
23 excerpt from the patent at Column 12, Lines 4 to 15.

24           There are two classes of preferred  
25 antibodies disclosed in this paragraph. And the first



1 said, There are preferred anti-TNF antibodies, and those  
2 are those which will competitively inhibit binding to  
3 human TNF alpha of the mouse antibody A2. And that's  
4 more or less paraphrasing what's in the claim. Then it  
5 says, well, there are -- other preferred antibodies are  
6 those that bind the epitopes recognized by A2. So it  
7 talks about them not as being coextensive. It mentions  
8 them each as separate and distinct possibilities. So  
9 that does not support Abbott's suggestion that the claim  
10 requires that the antibody bind to the same epitope as  
11 A2.

12 Further, the Harlow manual, this is the  
13 manual that discloses the competition assay, it's  
14 incorporated by reference. It talks about, well, if you  
15 get this competition what it could mean. It says, well,  
16 if the sites of the interaction of the two antibodies  
17 are identical or overlapping, the unlabeled antibody  
18 will compete. So Harlow says -- acknowledges it doesn't  
19 have to be the same epitope, it could be overlapping,  
20 and it could be other things, as well, as you will hear.

21 Abbott's expert looked at a statement made  
22 in the prosecution history to the effect that the  
23 claimed antibodies must bind to the same or similar  
24 antibody -- epitopes and concluded that same or similar  
25 means the same. I think I need to say nothing further

1 about that.

2                   So there is no support in the specification  
3 for reading in the same epitope limitation. Let's look  
4 at Abbott's attempt to read in a quantitative  
5 limitation, a requirement that the antibody being  
6 covered must compete with A2 as strongly as A2 competes  
7 with itself.

8                   The patent is silent about any kind of  
9 quantitative limitation on the competition to begin  
10 with. Abbott has made a suggestion, well, the claim  
11 would be invalid and indefinite if you don't put some  
12 limitations on it. We contend that invalidity is not an  
13 issue here today on claim construction, but the outer  
14 limits of the claim don't have to be precisely defined.  
15 It's well established in case law that that's the case.

16                   It will be an issue of fact for the jury  
17 based on what they hear at trial to determine whether  
18 the Humira antibody competes with A2 providing two TNF.  
19 The Harlow reference, again, that lab manual, actually  
20 has a section that says, well, if you want, you can make  
21 this competition -- competitive inhibition assay  
22 quantitative. It's an option. It's not something that  
23 you have to do. That's at Exhibit 17 at Page 1725901,  
24 again, indicating to those skilled in the  
25 art -- indicating that people skilled in the art would

1 not understand that a competitive inhibition assay must  
2 be quantitative in order for it to be meaningful.

3           And, importantly, what Abbott's trying to do  
4 here with its same epitope and same level of inhibition  
5 construction is to effectively limit the claim scope to  
6 a single cA2 antibody. If it has to bind to the very  
7 same antigen -- epitope and it has to bind the very same  
8 way that A2 does and A2 has the same binding region as  
9 cA2, in essence, they are limiting this claim to the  
10 single disclosed embodiment which is improper under the  
11 law, especially under the circumstances here.

12           A few arguments that Abbott made in its  
13 brief that clearly invite error. At Page 21, they say  
14 the specification's only example of competitive  
15 inhibition is testing between A2 and cA2. These  
16 antibodies bind to the same epitope. Well, fine, but  
17 there's nothing in the law that allows the Court to  
18 limit the claims to just the examples in the patent,  
19 absent extraordinary circumstances such as a disavowal.

20           Another argument that they make at Page 23,  
21 that the specification does not describe or even suggest  
22 any examples of antibodies that inhibit one another by  
23 any method other than binding to the same epitope.  
24 Again, we don't have to have claim scope coextensive  
25 with the scope of the examples in the patent. And the

1 last part -- point here is pretty much the same thing.  
2 They say inhibits should be construed to require a level  
3 of inhibition that is the same as the inhibition  
4 exhibited by A2 against itself. Again, there's no basis  
5 for limiting these claims to preferred embodiments.

6           So for all these reasons, Centocor contends  
7 that its construction, which very simply, in accordance  
8 with the plain and ordinary meaning of the term,  
9 construes the competitively inhibits clause to mean  
10 competes with A2 for binding to human TNF alpha.

11           With that, let me turn to the subpart two of  
12 Claim 1, which is a reference to the affinity with which  
13 the antibody binds to TNF. An affinity, of course, has  
14 to do with the strength of the binding to the  
15 antibody -- of the antibody to the target TNF, how much  
16 energy is required to get the antibody on there and how  
17 much energy is required to pull it off.

18           The parties essentially agree on part of  
19 this construction, as I said before, in the binds to a  
20 neutralizing epitope. This is where we have a minor  
21 disagreement about whether it's a noun or should it be  
22 described functionally, but we depart on how affinity is  
23 measured. The language of the claim -- let me go --  
24 here's the claim language. It says, binds to a  
25 neutralizing epitope of human TNF alpha in vivo with an

1     affinity measured as an association constant as  
2     determined by Scatchard analysis.

3                     And where the parties disagree is that  
4     Abbott says that you have to use that Scatchard analysis  
5     to measure the affinity in the living organism.  
6     Centocor's construction, of course, doesn't require  
7     that.

8                     So let's talk a little bit about the  
9     Scatchard analysis so you can understand why Abbott's  
10    construction really doesn't make sense. The Scatchard  
11    analysis as described in the patent can involve labeling  
12    the antibody with radioactive material and then  
13    measuring affinity. To carry out the Scatchard analysis  
14    in the human body, in the organism, as Abbott contends,  
15    you would have to insert radioactive material into the  
16    body. That simply isn't done. In fact, Abbott's expert  
17    admitted that he wasn't aware of a single instance where  
18    a person was ever injected with a radio-labeled antibody  
19    to measure affinity.

20                    It's also undisputed that the Scatchard  
21    result that can be carried out in the lab, not in the  
22    body, correlates to the affinity with which the antibody  
23    will bind in vivo. That was like many tests that  
24    scientists do in the lab to try to determine and  
25    ascertain, well, what kind of activity will this

1     antibody have in the body?

2                     So where the claim refers to binds to a  
3     neutralizing epitope in vivo, we know that means the  
4     antibody binds to TNF in our bodies, and that we measure  
5     the affinity using this Scatchard analysis, and that  
6     that will correlate to the strength with which the  
7     antibody binds in the body.

8                     This is another Abbott construction that we  
9     contend invites error. First of all, it's contrary to  
10    the plain meaning to the skilled artisan and it's  
11    nonsensical to suggest that this would be done with  
12    radio-labeled compounds in the body. It would also  
13    exclude the disclosed embodiment from the scope of the  
14    claims because the disclosed embodiment, the cA2  
15    antibody, its affinity was measured using a Scatchard  
16    analysis in a lab, not in a body. That's the only  
17    measurement that was made. So there's simply no reason  
18    to adopt Abbott's construction.

19                    They cite the Chef America case, which  
20    really is not on point here. The Chef America case, as  
21    you remember, there was claim language that required  
22    that some -- dough is a baking thing -- that some dough  
23    be heated to 400 to 800 degrees. And the patentee said,  
24    well, you know, we really didn't mean to. We really  
25    meant it should be heated at 400 to 800 degrees. And

1 the Court said, I'm sorry, the only reasonable  
2 construction of this claim, based on the language that  
3 you've used, is that it's heated to 400 to 800 degrees,  
4 so we're not going to rewrite your claim for you.

5 But here we're not asking anybody to rewrite  
6 the claim. There is a reasonable construction of the  
7 claim that Centocor has proposed that's consistent with  
8 the language of the claim and how people skilled in the  
9 art would understand it. So the Chef America case is  
10 really not on point and does not compel Abbott's  
11 construction.

12 So to summarize the -- Centocor's  
13 construction does not require carrying out the Scatchard  
14 experiment in the body, and our construction is  
15 otherwise rather straightforward.

16 Now, we get on to some of the terms where I  
17 think there are some less disputes, and we can probably  
18 move somewhat quickly. Recombinant, Claim 1 refers to  
19 this as a recombinant antibody, and what does that mean?  
20 Centocor's construction says that this means that it's  
21 encoded by DNA made with recombinant DNA technology, for  
22 example, encoded by a gene that was built by splicing  
23 DNA. So both parties agree that a recombinant antibody  
24 is one that's made by manipulating or splicing DNA.  
25 There's no difference between us there.

1                   But Abbott then inserts an element of  
2     indefiniteness in its construction by adding not  
3     substantially by natural immunization techniques. And  
4     we've asked them what that means, and we really haven't  
5     gotten an answer to that, so we are troubled by the idea  
6     of putting something in the claim that would make it  
7     indefinite.

8                   The problem with this is that an antibody  
9     such as cA2, which is an embodiment of the patent, did  
10    start with immunizing a mouse. It was the mouse  
11    antibody, A2, that started the whole process that led to  
12    the chimeric antibody, cA2, was made by immunizing a  
13    mouse and then collecting the antibodies and finding the  
14    A2 antibody. So we are concerned that the language not  
15    substantially by natural immunization techniques adds a  
16    level of uncertainty that is not called for, not  
17    necessary, and will only cause problems.

18                  Another term that the parties dispute is  
19    specificity. This is a term that's in Claim 9 of the  
20    '775 patent. It says that the antibody has specificity  
21    for a neutralizing epitope of human TNF alpha. So why  
22    do we care about specificity? Well, we want this  
23    antibody, this therapeutic antibody to go in and bind to  
24    the thing we care about, TNF, and hopefully not bind to  
25    something that's going to cause a problem for us.



1                   So how do we define, then -- well, how do we  
2 determine whether it's specific or not? Centocor  
3 contends that specificity is clear -- it's clearly  
4 defined in the patent as referring to the fact that the  
5 antibody binds to TNF alpha but not to TNF beta. Abbott  
6 is inserting a species specificity aspect to the  
7 definition instead. But let me show you why Centocor's  
8 construction is correct here.

9                   The patent describes specificity in terms of  
10 TNF beta quite clearly. At Column 49, Lines 29 to 41,  
11 it says the specificity of cA2, which is the preferred  
12 embodiment, for TNF was confirmed by testing for cross  
13 neutralization of human lymphotoxin, which is TNF beta,  
14 and then when you get to the bottom of that paragraph,  
15 it says, the results indicated that the antibody was  
16 ineffective in inhibiting or neutralizing this human  
17 lymphotoxin confirming the TNF alpha specificity of the  
18 chimeric antibody.

19                  There's another reference to specificity in  
20 terms of whether or not the antibody binds to TNF beta  
21 or not at Column 21, Lines 14 to 16, and that says much  
22 the same thing as what I've shown here from Column 49.

23                  THE COURT: Well, also, you -- you're citing  
24 from that Example 10, aren't you, started on Page --  
25 Column 48? Isn't that where that came from in the

1 patent?

2 MS. ELDERKIN: Column 48, Example 10.

3 THE COURT: I mean, the Example 10 starts on  
4 Column 48.

5 MS. ELDERKIN: Yes.

6 THE COURT: It goes over in -- part of that  
7 example is what you have cited to me over on Column 49,  
8 Line 42 to 50, right?

9 MS. ELDERKIN: Yes, exactly, that's part of  
10 Example 10. That's the reference --

11 THE COURT: Doesn't that same -- doesn't  
12 that same example talk about this limitation that  
13 they're proposing?

14 MS. ELDERKIN: The species specificity?

15 THE COURT: Yes.

16 MS. ELDERKIN: That's hard for me to say, so  
17 I'm going to blow that. Yes, and, actually, that's  
18 further down in the same column.

19 THE COURT: That's what I'm saying, it's in  
20 the same example. I just wondered why it -- yours is  
21 correct and theirs -- I'm not saying theirs is correct,  
22 but I'm wondering why both of them aren't -- shouldn't  
23 be included in the limitation since they're found in the  
24 same example?

25 MS. ELDERKIN: Right. Well, where they're

1 talking -- where the -- of course, the patent language  
2 is -- the language in the claim is specificity, not  
3 species, but specificity, and the patent at Column 49,  
4 the portion I just referred to, says --

5 THE COURT: But the title -- the title of  
6 Example 10 on Column 48 is specificity of an anti-TNF,  
7 chimeric antibody, and I'm just saying that both of  
8 those examples -- within that example, you've got the  
9 discussion of two different -- two different times about  
10 specificity, it seems to me, and I'm asking -- what I'm  
11 trying to find out is why you believe I should -- I  
12 should include this but not include their species.

13 MS. ELDERKIN: Because this, what I'm  
14 referring to is Column 49 starting at Line 29, talks  
15 about specificity of the antibody, and that's the  
16 language in the claim, specificity. Down further in the  
17 column at the bottom of Line 49, and I have this up on  
18 Slide 57, it talks about the species specificity.  
19 Actually, I guess this is not on my slide here, but at  
20 the bottom of Column 49, it says, therefore, cA2 appears  
21 to share species specificity with the antibody A2.

22 So there is a distinction between  
23 specificity, and where the -- this example talks about  
24 specificity, it's talking about specificity with respect  
25 to TNF beta, but then down below, talking about species

1 specificity, and since the claim does not say species  
2 specificity, it just says specificity, the construction  
3 relevant to TNF beta we contend is the appropriate one.

4 THE COURT: You just want me to ignore the  
5 title that the patentee gave to the entire discussion?

6 MS. ELDERKIN: I think the term is used --  
7 is used generally there because this example talks about  
8 a number of things, but the -- the explanation of  
9 specificity in the example breaks it down to specificity  
10 and species specificity, and we contend that the TNF  
11 beta example is the appropriate one.

12 THE COURT: Okay.

13 MS. ELDERKIN: That's also the example that  
14 has therapeutic meaning. If we're making an antibody to  
15 TNF that they want to put in your body to treat  
16 rheumatoid arthritis, we don't really care if it's going  
17 to bind to monkey TNF or chimpanzee TNF. We care if  
18 it's going to bind to other proteins in your body that  
19 might have -- where it might have a bad effect. Maybe  
20 it will turn on something good that you want to happen  
21 in your body.

22 So from a therapeutic standpoint, what  
23 really matters is whether the antibody is specific to  
24 the proteins in your body, and that relevant connection  
25 here is -- TNF beta is one of the proteins that's most

1     closely aligned to TNF alpha. So if the antibody is not  
2     binding to that, it indicates that it's likely not to  
3     bind to any of the other proteins in your body, as well.

4                     I'm sorry if I spoke over your question.

5                     THE COURT: No, no, I was just going to say,  
6     I didn't mean to cut you off either. I was just going  
7     to say you want me to say that I'm going to look at this  
8     strictly what is most therapeutic? I mean, it seems  
9     that would be your argument there, that I should be  
10    looking only at this patent at the specificity as to  
11    what's most therapeutic. I mean, that's not exactly  
12    what -- I'm just trying to figure out why you want --  
13    think it would be appropriate for me to ignore this  
14    example -- part of the example. That's what I'm asking  
15    you.

16                    MS. ELDERKIN: I think I would just  
17    repeating my answer.

18                    THE COURT: Well, I think you would. Okay.

19                    MS. ELDERKIN: Okay.

20                    THE COURT: Thank you. Go ahead.

21                    MS. ELDERKIN: So, again, we contend that  
22    the proper definition has to do with specificity of the  
23    TNF beta and not to other species.

24                    Claim 11 of the '239 patent talks about  
25    inhibiting that -- the antibody inhibiting a

1 pathological activity of human TNF alpha, and, again,  
2 there's not a lot of difference between the parties  
3 here.

4 Centocor believes that since -- that you  
5 can't ignore the term pathology. It means something  
6 different than biological activity because other claims  
7 talk about biological activity, and that pathological  
8 means associated with a clinical problem.

9 I think we're pretty much on the same -- at  
10 the same place as Abbott. Our one concern is the last  
11 two words of their construction where they say that this  
12 activity must be associated with a disease or damage,  
13 and we're -- we're unclear about what damage means and  
14 don't want any indefiniteness incorporated into the  
15 claim construction.

16 '239 patent, Claim 14, talks about the  
17 anti-TNF antibody being produced recombinantly. This is  
18 another construction where we submit that Abbott is  
19 improperly trying to incorporate extraneous limitations.  
20 Our construction is simply that produced recombinantly  
21 means produced in a recombinant host cell, in other  
22 words, produced from a source, an organism or a cell  
23 line that includes a gene that was built by splicing  
24 DNA.

25 Remember there's recombinant technology

1 involved, taking pieces of DNA that don't naturally  
2 occur together and splicing them together to form new  
3 instructions for making an antibody.

4           The problem that we see with Abbott's  
5 construction is that we don't understand what the last  
6 four lines or so of it means. They talk about altering  
7 the genotype and phenotype of the cell, and we don't  
8 understand what that means. And then they also require  
9 that the inserted DNA be replicated along with the  
10 natural DNA. There's no basis for making that  
11 requirement. In fact, there is a reference in our  
12 patent to a method where the host DNA is not replicated  
13 along with the artificially-introduced DNA. That's at  
14 Column 30, Lines 23 to 25, and Dr. Marks also testified  
15 about that in Exhibit 9 at 165, Lines 8 to 18. So we  
16 think it's improper and unnecessary to incorporate this  
17 additional language which is not even that clear into  
18 this otherwise straightforward claim construction.

19           And with that, Your Honor, I have completed  
20 my presentation. I would be happy to answer any  
21 questions or --

22           THE COURT: On this word of recombinant and  
23 produced recombinantly, I mean, is there -- maybe this  
24 Court's a little bit simple, but has this got anything  
25 to do with -- this produced recombinantly, does this

1 have anything to do with produced in large quantities?

2 Is that an issue at all?

3 MS. ELDERKIN: No. We don't believe that it  
4 does, Your Honor. It just refers to being made in a  
5 recombinant host cell as opposed to being made by  
6 chemical synthesis or by using a hybridoma.

7 For example, I think this appears in Claim  
8 14 of the '239 patent, and the next two claims in that  
9 patent, one -- Claim 15 and 16, say rather than being  
10 produced recombinantly, they say, well, produced by  
11 chemical synthesis or produced by using a hybridoma. So  
12 I think that the language is just meant to, for one  
13 thing, distinguish from those types of synthesis, but it  
14 doesn't really go to quantity or ease of manufacturing.

15 THE COURT: All right. I don't have any  
16 other questions. Thank you.

17 MS. ELDERKIN: Thank you.

18 THE COURT: Mr. Lee?

19 MR. LEE: It will take half a minute, Your  
20 Honor, to change --

21 THE COURT: I understand. Mr. Lee, if you  
22 have a point during your presentation that you think is  
23 a natural breaking point, the Court would --

24 MR. LEE: How about now, and we could get it  
25 hooked up? Is that all right?



1                   THE COURT: Well, that will -- that would  
2                   probably be as good idea as any. Why don't we take  
3                   about a 15-minute break?

4                   MR. LEE: Thank you, Your Honor.

5                   COURT SECURITY OFFICER: All rise.

6                   (Break taken.)

7                   COURT SECURITY OFFICER: All rise.

8                   THE COURT: Please be seated, except for  
9                   you, Mr. Lee.

10                  MR. LEE: Thank you, Your Honor.

11                  THE COURT: All right. Let's proceed.

12                  MR. LEE: Your Honor, Centocor began its  
13                  presentation today by describing the story of the  
14                  invention, which in most claim construction hearings  
15                  it's not precisely relevant, but in this case, it  
16                  actually is and this is an issue on which we agree.

17                  There was one claimed invention which was  
18                  this chimeric antibody cA2. It was the only antibody  
19                  invention. It was done in 1990 to 1991, and then, as  
20                  Your Honor knows, there have been a series of 14 or 15  
21                  applications that had followed that result in some of  
22                  the confusion that Ms. Elderkin and you discussed.

23                  I think to answer one question Your Honor  
24                  asked Ms. Elderkin or at least to give you our answer,  
25                  there are inconsistencies and contradictions in the

1 specification. There are particularly inconsistencies  
2 if you give the incorporation by reference statements,  
3 and I'll come to that very shortly, their full meaning.

4 I think the answer to the question that Your  
5 Honor asked is in Section 112, which is the patent has a  
6 public notice function to tell you and to tell the  
7 public what's claimed, and those contradictions, to the  
8 extent they exist, ought to be resolved against the  
9 person who has the power of the pen, which is the  
10 patentee, rather than the public.

11 Now, there are 10 disputed terms and phrases  
12 in the Markman hearing, but there are really sort of two  
13 fundamental issues, and I'm going to devote the vast  
14 majority of my time to those, very quickly hit on three  
15 others and two cases, I think, suggesting a resolution  
16 that would actually leave less for the Court to resolve.  
17 But I'm going to focus on the two issues because I think  
18 that resolves most of the claim terms in dispute.

19 And those two issues are whether the claim  
20 terms anti-TNF alpha antibody, human variable region,  
21 human light chain, and human heavy chain cover fully  
22 human antibodies and their components. And then,  
23 secondly, the competitively inhibits portion of the  
24 claim.

25 What's on the screen now, Your Honor, on

1 Slide 3 of our presentation is simply the competing  
2 claim interpretations which Ms. Elderkin put on separate  
3 slides but accurately stated, and I think has fairly  
4 characterized the dispute, although we suggest it should  
5 be resolved in a different way.

6           On Slide 4, which I'll pass quickly, is just  
7 a refresher on the material that was in our tutorial on  
8 heavy chains, light chains, variable domains, and the  
9 complimentary determining region. The one thing I would  
10 say is if I focused Your Honor on the circle in the  
11 upper right-hand corner of the variable domain, the  
12 variable domain has two parts. There's a framework  
13 region, and there's a complimentary determining region,  
14 and that becomes important when we look carefully at the  
15 portions of the specification upon which Centocor  
16 relies.

17           Now, with a little color added to the  
18 different types of antibodies, well, maybe a little  
19 humor added to the different types of antibodies,  
20 there's really no dispute between us that there are four  
21 different types of antibodies developed in different  
22 ways at different points in time that are relevant to  
23 the Markman determination.

24           The first on the left are mouse antibodies  
25 that have been made by infecting a mouse with an

1 antigen, harvesting the mouse spleen, and isolating the  
2 B cells. The second, the chimeric antibody, which is  
3 the cA2, which Centocor claims to have invented, is  
4 something made using recombinant techniques that has a  
5 mouse variable region but a human constant region. The  
6 third category is humanized, and it becomes important  
7 when we look carefully at what Centocor said in the  
8 specification, and those are antibodies that have parts  
9 of mice and parts of humans, but the human portion is a  
10 very small portion at the complimentary determining  
11 region. And, finally, the last stage in development was  
12 the fully human antibody created by recombinant  
13 techniques.

14           The first fully human antibody that the FDA  
15 approved for the treatment of humans was Humira. I  
16 think Ms. Elderkin fairly stated what our dispute is.  
17 Our dispute is do these four claim terms and the claims  
18 that have those claim terms cover fully human antibodies  
19 as Centocor contends or does it require that there be  
20 some element of something other than a human in the  
21 antibody as we contend? Stated differently, are the  
22 claims limited to chimeric and humanized antibodies or  
23 do they cover fully human antibodies?

24           Now, I think I can capture the core dispute  
25 between us both legally and factually in the next four

1 or five slides, and I've moved to Slide 7. Ms. Elderkin  
2 proposed that the concept of disavowal was an  
3 extraordinary circumstance. We know from the many  
4 Markman hearings you've had, the Court's very familiar  
5 with the basic Markman principles. I just want to  
6 address two. One is the concept of disavowal, and the  
7 second is concept of the importance of the specification  
8 post-Phillips to the disclosed and only embodiment in  
9 this case.

10 And let me say just these three things, Your  
11 Honor, the extraordinary circumstance concept of  
12 disavowal was a pre-Phillips concept. There was a  
13 case -- Your Honor will remember Texas Digital, which  
14 suggested broad plain meaning, and out of Texas Digital  
15 came two things, one, a lot of controversy, and, two,  
16 some cases have said, well, I'm going to follow Texas  
17 Digital. The disavowal has to be clear, extraordinary.  
18 Phillips has rejected that law and articulated a  
19 protocol which Your Honor is very familiar with, but  
20 it's made disavowal something less than extraordinary,  
21 and it's made the specification more important as it was  
22 with Vitronics.

23 And just to emphasize the point, there are  
24 three cases we'd like to offer the Court for  
25 consideration. One is the Astrazeneca case, which is a

1 2004 case. The claim term is solubilizer, and the claim  
2 term itself was very broad, but the specification said  
3 the solubilizer of this invention has specific features,  
4 in this case, something called, if I pronounce it  
5 correctly, Micelles, M-i-c-e-l-l-e-s, and criticized  
6 solubilizers that didn't have that feature. And the  
7 Federal Circuit said, hey, look, the specification has  
8 to mean something. If you are criticizing something,  
9 even if the broad term covers it, you cannot get claim  
10 coverage for that which you have criticized.

11 The Honeywell case, which was two years  
12 later, said the same thing. That case, Your Honor,  
13 which is at Slide 8 of our presentation, had a claim  
14 term electrically conductive fibers. The question was  
15 whether that covered carbon fibers. There is no doubt  
16 that as a plain meaning general proposition, it would  
17 cover carbon fibers, but the specification included a  
18 portion that criticized carbon fibers. And the Court  
19 said, well, you can't cover that which you have  
20 criticized.

21 The second concept is simply the concept of  
22 the importance of the disclosed embodiment, here, the  
23 only disclosed embodiment, and how it should be read  
24 post-Phillips. This goes directly to the Centocor  
25 argument that an antibody is an antibody, and they're

1 entitled to that coverage.

2           Most recently, in 2009, the Federal Circuit  
3 dealt with a case that had the broad term wound, and  
4 it's a broad term. It has a plain meaning, but the  
5 Court said, no, the specification describes it more  
6 narrowly. It tells you that they're only dealing with  
7 certain types of wounds, and as a consequence, that's  
8 the only coverage that you're entitled to.

9           Why does this become -- why do those two  
10 proposition, disavowal, not as an extraordinary event,  
11 and the importance of the specification, the disclosure  
12 to the claim interpretation become important?

13           Moving to the factual dispute between us.  
14 Your Honor, in the patent at Column 1, Line 4 and then  
15 Line 24 to 27, there is something that it appears from  
16 Ms. Elderkin's argument we have fundamental disagreement  
17 on. Centocor argued here this morning that there was a  
18 '91 application. It did say things about chimeric  
19 antibodies. It did criticize human antibodies, fully  
20 human antibodies, but that was taken out of the patent.  
21 That's not true by our view, and at Column 1, Line 4 and  
22 then down to 24 to 27, what Centocor said was the  
23 earlier applications are incorporated by reference.  
24 That's at Line 27.

25           We'd ask Your Honor to compare Centocor's

1 position on the '91 application with what it says at  
2 Slide 30 when it talked about the Harlow reference, and  
3 they said that reference is incorporated by reference  
4 because of what's said at Column 12, Line 19 to 20.  
5 Incorporated by reference has a meaning. It has a  
6 meaning in the patent law. It means it's as if that  
7 which is being incorporated by reference is set forth in  
8 the specification. And it's going to have the same  
9 meaning in Column 1, Line 27, as it does in Column 12,  
10 Line 19 to 20, and it means it's incorporated by  
11 reference.

12 Now, that creates, I think, even more  
13 confusion than if you just read the specification on its  
14 own, but I think it demonstrates that our narrow claim  
15 interpretation is correct, and I think it's correct,  
16 Your Honor, for two reasons. The first is that if we  
17 look at what was incorporated by reference, you're going  
18 to see that the invention is described as chimeric  
19 antibodies and there is an explicit criticism of human.  
20 That's one.

21 The second, I'm going to walk through the  
22 portions of the file history that Centocor has -- I'm  
23 sorry, portions of the specification that Centocor has  
24 cited to Your Honor, and I think I can demonstrate to  
25 you that all of them are consistent with the claim



1 construction we offer.

2                   So let me turn to the first, which is at  
3 Slide 11. Slide 11 has a portion of the original  
4 application, and this is now incorporated by reference,  
5 and the manner in which the invention, the cA2, was  
6 described in the original application is very  
7 conventional, and it laid out the background of the  
8 invention, it laid out the state of the art, and it  
9 described mouse antibodies as well established  
10 technology.

11                   But what it said was mouse antibodies have a  
12 problem, because they're from mice, when you put them  
13 into a human being, the human being is going to  
14 recognize them as not human and mice, and the human body  
15 is going to do things to reject that foreign -- that  
16 foreign substance. That's going to limit the  
17 effectiveness of the mouse antibody. So they were  
18 clear. Here's a problem with the mouse antibodies.

19                   But they had another section, and this  
20 section, which is at Slide 12 from the '827 application  
21 at Page 9, this is fully incorporated by reference as a  
22 result of what's said in the patent. And what they said  
23 is, okay, we have a problem with mice. One possibility  
24 would be to consider fully human or human antibodies,  
25 but human antibodies have problems. The first thing is

1 you might like to get human antibodies that are  
2 generated in the spleen of a human, but while we can --  
3 we can take spleens out of mice and use them for  
4 purposes of creating therapeutics, harvesting spleens  
5 from human beings is not going to be something that  
6 works.

7           As a consequence, to get it to work with  
8 human antibodies, we need to use a virus as part of the  
9 problem -- process. This is that Epstein-Barr virus.  
10 Well, that creates some problems, too. It may not work,  
11 and the second thing is, it's a virus. If you put a  
12 virus in, you could be creating a lots of problems. And  
13 then it says, but most importantly, anti-TNF alpha is  
14 something that occurs in a human being. So having the  
15 human body create an antibody is something that's  
16 naturally occurring, doesn't happen naturally. This may  
17 not work at all.

18           So they've said -- if you take my chart with  
19 four different possibilities, they said, well, we've got  
20 mice at the left-hand end, that works, but it's got some  
21 limitations because it's all mouse. We have human at  
22 the other end, but human doesn't work. The technologies  
23 that are available to make it work could inject viruses  
24 and other things into the process, and, ultimately, in  
25 the end, it probably doesn't work.

1                   So what did they say? They say, well, the  
2 solutions in the middle. And, again, this is -- what's  
3 on the Slide 13 is taken directly from the application  
4 incorporated by reference -- I should say  
5 parenthetically. The last slide on Slide 12 is the  
6 portion that Ms. Elderkin said had been removed from the  
7 '94 application. That's true, it had been physically  
8 removed, but then you have the incorporation by  
9 reference which brings back which creates at least  
10 infusion. We suggest it brings it back and -- set forth  
11 there entirely.

12                   So what does the incorporated by reference  
13 application say? It said, well, here is the solution.  
14 The solution is a chimeric antibody that has a nonhuman  
15 portion and a human portion. And chimeric antibody  
16 technology such as that used in the present invention  
17 bridges the gaps that we've talked about. That's what  
18 they invented. That's what they described as the  
19 invention.

20                   And if you think about it, Your Honor,  
21 the -- having had them describe the left-hand side and  
22 the right-hand side of our antibody slide and say we're  
23 in the middle makes some sense.

24                   Now, after that '91 application, there is a  
25 really complicated and confusing series of applications

1    which carry us right up to the point where we have the  
2    patents that we have today.  And while we agree with  
3    Your Honor that resolving priority issues today is not  
4    the task, I'm actually going to go through the portions  
5    of the -- the portions of the specification Centocor  
6    relies upon and identify the application from which they  
7    came, because if the Court considers the Federal  
8    Circuit's decision in the PowerOasis case, there was a  
9    claim construction process Judge Barbadoro in New  
10   Hampshire identified the portions that he was relying  
11   upon for his claim interpretation.  That then led to  
12   resolution of the issue that Your Honor alluded to  
13   further down the road.

14               So while it -- there was no resolution of  
15   the priority date issue, there was some attention given  
16   to just where the portions of the spec came from that  
17   the Court was relying upon.  And that becomes important  
18   in this case because of this complicated history.  The  
19   interesting thing is even after Centocor tried to --  
20   tried to take this chain of applications and move it in  
21   the direction of more human still throughout the  
22   preferred antibody is a chimeric antibody.

23               Your Honor, the Court might pause and say,  
24   well, wait a minute, if you really had moved the  
25   invention from chimeric to humanized to human and you

1 now had one that was entirely human, if they put human  
2 being that would be fine, why would your preferred  
3 embodiment be chimeric? It wouldn't be.

4 And to go back one slide to Slide 13, here  
5 is the reason. I think as the Court knows from its  
6 other cases and -- and your service on the Federal  
7 Circuit, when someone has a series of applications  
8 coming off a first one, you're trying to balance two  
9 things that are competing considerations. You're trying  
10 to keep that early priority date so that you can  
11 eliminate prior art, but you're also trying to get a  
12 little bit broader and broader coverage.

13 Well, that's hard to do if you're trying to  
14 keep that priority date, and what you see in this series  
15 of applications is Centocor trying to just do that dance  
16 which is we want to try to keep '91 as a priority date,  
17 but we know we need to get more into the specification  
18 if we want to try to cover what other folks are doing,  
19 and that's where the confusion comes from.

20 So, Your Honor, on Slide 16 are the nine  
21 portions of the specification which Centocor has either  
22 cited to you in its briefs or in its claim construction  
23 contentions. And I would say this, I think I can  
24 demonstrate to Your Honor that the original 1991  
25 application and the original 1992 CIP are talking about

1 things other than fully human.

2 I would say fairly also that there's no  
3 doubt that in 1994, the patent lawyers drafting that  
4 application were trying to put in concepts that might be  
5 a little broader. But I think that I can demonstrate to  
6 Your Honor that in doing so, in trying to strike this  
7 balance of keeping the '91 priority date, they didn't  
8 get to fully human. So if I take them in that order,  
9 Column 14, Line 12 to 20, which is on Slide 17, which is  
10 the first one, refers only to the human constant region.  
11 It's not talking about the fully human antibody. It's  
12 talking only about a human constant region which would  
13 apply to a chimeric antibody, cA2, and I would say in  
14 just a second, Your Honor, I think I'll come to the --  
15 Your Honor asked a question to Ms. Elderkin about the  
16 definition of a chimeric antibody. It's actually quite  
17 important, even though the claim itself doesn't have  
18 chimeric because of the manner in which Centocor has  
19 defined the term.

20 Now, this portion refers only to the human  
21 H chain and says nothing about whether the antibody is  
22 fully human or not. Centocor says, well, there's  
23 references in this patent to a human constant region,  
24 and you can see that's all human. The answer is that's  
25 true, but we're not -- we're not construing the word

1 human in the abstract. We're construing the word human  
2 as part of phrases. And a human constant region, we all  
3 agree, is going to be fully human. A human variable  
4 region we actually all agree could be fully human or  
5 could not. And so the argument that was made with that  
6 phrase doesn't really answer the question, and the fact  
7 that this refers to a human constant region doesn't tell  
8 you anything about the scope of the claim.

9           The second portion that Centocor relies upon  
10 is Column 19, Line 1-8, and Column 19, Line 17 to 27.  
11 This is exactly the same, Your Honor. This is the human  
12 constant region which is human and says nothing about  
13 the variable region. And if I were to pause just for a  
14 second on these two, that makes perfect sense. As  
15 Ms. Elderkin described the invention, the invention was  
16 a chimeric antibody, cA2, that had a human constant  
17 region. The fact that the specification would describe  
18 that is completely commonsensical.

19           The next portion that Centocor relies upon  
20 from the original application is at Page -- Slide 19,  
21 and it's Column 14, Line 64, to Column 15, Line 9. This  
22 says nothing, Your Honor, about whether the antibody is  
23 fully human or not. It's referring to a chimeric  
24 antibody of the present invention. And then the portion  
25 that they cite is talking about transformation of a

1 human cell. That's -- the portion they're relying upon  
2 is that line at Line 69 we just talked about, a process  
3 of transformation, not about a fully human antibody. So  
4 those are the three that they rely upon from '91.

5           Then if you get to '92, the concept of  
6 human-human is to be sure introduced, and it's  
7 introduced in the '92 application. And on Slide 20,  
8 we've identified the three places they've identified  
9 references to human-human. But this is where Your  
10 Honor's question becomes important about the definition  
11 of a chimeric antibody, because if I move to Slide 21,  
12 which has quotations from Column 20, Lines 45 to 48, and  
13 Column 10, Lines 64 to 67.

14           What the patent says in 1992 is a chimeric  
15 antibody, such as mouse-human or human-human. So they  
16 themselves in the patent have said a human-human  
17 antibody, which our expert has said is not a phrase that  
18 scientists use in the normal course, there are other  
19 ways to describe it, such as humanized, this phrase that  
20 was coined and introduced in 1992, Centocor says this  
21 human-human antibody is a chimeric antibody.

22           Then if you go down to I think the portion  
23 of the specification that Your Honor was discussing with  
24 Ms. Elderkin, which is definition of chimeric antibody  
25 or one definition, chimeric antibodies are molecules



1 different portions of which are derived from different  
2 animal species. So if we put these two things together,  
3 the bottom portion from Column 10 is an accurate  
4 definition of a chimeric antibody. It's particularly  
5 accurate given the invention as Ms. Elderkin described  
6 it as cA2, and then they go on 10 columns later and say,  
7 okay, now we're introducing the concept of human-human.  
8 A human-human antibody is a chimeric antibody.

9                   That takes us to 1994, and on Slide 23 --  
10 Slide 22, we have just put a quotation from the  
11 PowerOasis case because it's analogous. Now, to be  
12 sure, Your Honor, the decision that was on appeal and  
13 affirmed was one that is the event you described as  
14 further down the road, but the decision is instructive  
15 in that the manner in which the Court identified the  
16 portions of the spec it relied upon became important to  
17 that decision down the road, and we think that's  
18 important because if you move to the '94 spec, if you  
19 move to the specification which they rely upon, the real  
20 question is are the things that they added enough to  
21 broaden the concept of fully human?

22                   We actually still say no if you read it in  
23 the full context, but if it does and that's what drives  
24 the result, it could become important down the road.

25                   Now, Column 5, Line 55 to 59, does inject

1 the word human antibodies. If the Court compares the  
2 original summary of the invention from the incorporated  
3 by reference application and this summary of invention  
4 that inserted this word human antibodies, it's like  
5 they're from two different patents, literally like  
6 they're from two different patents, but the  
7 incorporation by reference we say give us -- gives us  
8 the answer.

9           So now we have the word human antibodies.  
10 The question is not just what is the ordinary meaning.  
11 The question is what is a human antibody as these folks  
12 have used it over a period of three, four, five years  
13 before the patent office. And the answer can be found  
14 in the file history.

15           On Page 24, Your Honor, we have the original  
16 claims of the application that led to the '775 patent.  
17 Dependent Claim 3 claims an antibody that has at least  
18 one human light chain and one human heavy chain, that  
19 dependent claim is dependent on Claim 1, which claims a  
20 human anti-TNF alpha antibody. So we have Claim 1 that  
21 says we're claiming a human anti-TNF antibody. We have  
22 Claim 3 that says that human antibody has at least one  
23 human light chain and one human heavy chain, but then  
24 when you go to Claim 6, Claim 6 says the light chain and  
25 the heavy chain can contain complimentary determining

1 regions from A2 or cA2.

2                   What does that mean? Well, A2 is a murine  
3 antibody disclosed. CA2 is a chimeric antibody  
4 disclosed. Claim 6 is telling us that the manner in  
5 which these folks have used human antibodies includes  
6 antibodies that have mouse at the end. It may be  
7 different portions of mouse, but mouse at the end. It's  
8 completely consistent with what the invention was and  
9 completely consistent with the concept of a humanized  
10 antibody.

11                   In Dr. Mark's declaration, he reviewed with  
12 the Court some of the different scientific references  
13 that use the human antibodies to refer to the humanized  
14 antibodies that had been discovered, researched, and  
15 reported on in the 1990s. So if we take their first  
16 1994 portion of the spec, human, we look at their very  
17 claims, we can see that they're talking about something  
18 that is humanized.

19                   Let me take the second, this is the second  
20 part of the '94 application, which is at Column 10,  
21 Lines 32 to 41. I think probably the most important  
22 thing here is, Your Honor, we're not quite sure what the  
23 point is. There's nothing about human antibodies. The  
24 word human doesn't appear in this portion of the spec.  
25 This tells you nothing differently about what the patent

1 covers.

2                   And then the last portion they rely upon is  
3 at Column 18, Line 53 to 62, and I think, Your Honor,  
4 this demonstrates the tension that arises when you're  
5 trying to keep your priority date and add some material.  
6 They rely upon something that says human anti-TNF  
7 variable region which contains a framework residue  
8 having complimentary determining residues which are  
9 responsible for antigen binding. It makes no scientific  
10 sense.

11                   When I look -- when I went quickly through  
12 our tutorial slide, I said the one point we'd like to  
13 make is that that variable region has two parts, the  
14 framework region and the CDR. Those are two separate  
15 and distinct parts. And their expert, Dr. Adams, said  
16 they are distinct. So the portion of the spec that  
17 Centocor relies upon actually makes no scientific sense.

18                   So, Your Honor, what that leaves us on this  
19 first primary dispute is this, it leaves us with one  
20 single disclosed embodiment. It leaves us with an  
21 early -- early applications that are incorporated by  
22 reference that criticize fully human antibodies. It  
23 leaves us with later applications that put the word  
24 human in, but in the form of human-human or human, which  
25 is described in the claims as including humanized

1     antibodies.

2                     Against that background, if you look at the  
3     full scope of what's disclosed and we consider the  
4     public notice function of the claims, the fair  
5     interpretation of these claims, we would suggest, the  
6     correct interpretation is something that covers  
7     chimeric, humanized, but does not cover fully human.

8                     Now, the second issue, whether the phrase  
9     competitively inhibits binding of A2 to human TNF alpha  
10    requires antibodies to bind to the same epitope of TNF  
11    alpha at a defined level of inhibition -- you know,  
12    fundamentally, Your Honor, this is a question of are we  
13    just going to let the jury sort of try to figure this  
14    out on their own or are we going to give this some  
15    definition.

16                    And as I sort of struggled with this as we  
17    prepared the argument, I thought of a claim that assumed  
18    that -- say Ms. Elderkin had invented the jet airplane  
19    but decided to claim the jet airplane as a plane with a  
20    jet engine that goes fast or that goes faster. That's  
21    actually what we're dealing with here is it  
22    competitively inhibits. The question is how and to what  
23    extent, and what we're urging is that we give the jury  
24    some definition of just how and to what extent.

25                    On the screen now on Slide 30 is our claim

1 interpretation, and, actually, if you take them in  
2 reverse order, if you take the second portion, we are  
3 describing the cause. We're describing the fact that  
4 it's the binding to the same epitope that causes the  
5 inhibition. Then we're saying in the first paragraph,  
6 and here's the effect. Here's how much. Here's how  
7 much faster it has to be. It needs to be at least as  
8 well. Now, parenthetically, at the very end on the  
9 Slide 30, we have a sentence that says an epitope  
10 consists of Amino acid residues on the antigen to which  
11 an antibody binds. Centocor suggested that that was  
12 inconsistent with what's in the specification. We would  
13 just ask the Court to consider the words because it's  
14 not inconsistent at all.

15           On Slide 31 is Centocor's definition, which  
16 we would suggest fundamentally just tosses the issue up  
17 in the air to the jury with no guidance. So if we take  
18 our construction in the order that I've suggested, which  
19 is cause and then effect, the bottom portion deals with  
20 cause and the causes binding the same epitope of TNF  
21 alpha.

22           As the Court knows from the tutorial, two  
23 antibodies can inhibit each other in a number of  
24 different ways. They can bind to the same epitope in  
25 this overly simplified version, and that would be, in

1 our view, competitive inhibition, but also one epitope  
2 can bind to a site and block the other from getting in,  
3 and that's called steric hindrance.

4 On Slide 34, another way that inhibition can  
5 occur is if one binds and when it binds it causes a cell  
6 to change its shape so that the antibody can't get to  
7 the antigen binding site or to the epitope binding site,  
8 and that's called allosteric hindrance. And the last,  
9 which may be the most predictable is the antibodies just  
10 bind to themselves and make themselves useless.

11 Which of these different things is the  
12 patent talking about? And, again, the answer is in the  
13 words of the specification. In the specification  
14 itself, they say Figure 9A and 9B, which Ms. Elderkin  
15 put up on the screen, is an example of a cross blocking  
16 epitope. And they say -- I'm trying to eliminate that  
17 red arrow, but I can't. You did. Thank you. They say  
18 themselves that this cross blocking epitope is what  
19 they're talking about as competitive inhibition,  
20 competing for the same site is what they're talking  
21 about.

22 And the patent at Column 13, Line 15 to 26,  
23 defines what the epitope is. So we suggest it's pretty  
24 clear, but if the Court was concerned and thought that  
25 there was ambiguity in what they said themselves, the

1 file history answers the question by saying, thus, the  
2 same -- claimed monoclonal antibodies, in their ability  
3 to inhibit A2 binding, must also bind to the same or  
4 similar epitope.

5               Now, we also suggest in addition to cause,  
6 there has to be some way to quantify the effect, and I  
7 think this becomes important not just in the abstract,  
8 Your Honor, because the Federal Circuit says we ought to  
9 tell the public when their jet airplane is going fast  
10 enough to be fast or fast enough to be faster. We need  
11 to tell them what the scope of the right to exclude is.  
12 I'm going to come back to this one.

13               A key here is that Centocor's expert gave  
14 testimony during the Markman depositions which  
15 demonstrates that just the phrase itself, competitively  
16 inhibits, doesn't tell you a whole lot. He said, well,  
17 you know, it's sort of like the United States election.  
18 If it's a landslide, you know it's a landslide. If it's  
19 close and the balance is in Florida, then it's close.

20               I can tell you on each extreme what it is,  
21 but I can't tell you what it is in the middle. He said  
22 it's a matter of degrees. 5 percent would be enough --  
23 would not be enough. 65 percent, 75 percent, 35  
24 percent, I don't know. And we suggest that that would  
25 create an indefiniteness problem.



1                   Now, Ms. Elderkin said that indefiniteness  
2   is not something the Court considers at Markman. I  
3   would suggest that also is old law. As Markman came  
4   into being, it was this old law that said indefiniteness  
5   was a question of fact. Markman became a question of  
6   law. Post-Markman and post-Phillips, the question of  
7   whether something is definite has to become a legal  
8   issue for Your Honor. And if it is indefinite, it is  
9   indefinite. If it's capable of being given a  
10   definition, it's capable of being given a definition.

11                   And all we've tried to do is say, okay, if  
12   it's going to be given a definition, let's give it a  
13   definition. And that's why we explained to Your Honor  
14   in the tutorial the cross blocking epitope test, the  
15   need for a positive control, the need for a negative  
16   control, and then the test antibody. And if the Court  
17   considers Slide 39, what ought to happen, if you're  
18   binding to the same or similar epitope, the positive  
19   control, which is our green line, when you put the test  
20   body in, it ought to get pretty close to the same, and  
21   that is what the patentee was talking about, because if  
22   we move to Slide 43, at Column 12, Line 4 to 15, they  
23   say, it's having substantially the same specific binding  
24   site.

25                   Now, Your Honor, very quickly, let me say

1     this on the four remaining terms. On recombinant or  
2     produced recombinantly, having heard the argument, I'm  
3     not sure that there's a difference more than words, and  
4     if -- you can take something off the Court's plate if  
5     what Ms. Elderkin represented to be their definition of  
6     recombinant and produced recombinantly means that claim  
7     interpretation is fine with us.

8                     As to specificity, as I think we said in our  
9     brief, Example 10 does describe both. We think probably  
10    the right descrip -- the right definition includes both.

11                    And then as to inhibits pathological  
12    activity, I'm going to leave it to the briefs.

13                    But the final one I'm going to talk about is  
14    this binding to a neutralizing epitope of human TNF  
15    alpha in vivo. Your Honor, this is one where I think  
16    looking at this, looking at what occurred answers the  
17    question. The asserted claims of the '775 patent  
18    include this claim limitation with the word in vivo. In  
19    vivo means in a living thing as we've suggested. The  
20    '239 patent, which came out second and the second patent  
21    before Your Honor, eliminated that claim term.

22                    Now, if the definition is as broad as in  
23    vivo, which is a series of calculations that are made  
24    outside of all living things, if it's as broad as  
25    Centocor says, why bother to take the claim term out in

1 the '239 patent? The fact they took the claim term out  
2 I think answers the question. The word in vivo is  
3 there. The word in vivo has a meaning. It is a test  
4 that measures something in a living organism, and to the  
5 extent that Centocor's argument is you can't do this  
6 measurement in a living organism, our answer is three  
7 things.

8 One is, well, if you can't, you shouldn't  
9 have put it in your claim term, and Chef America says we  
10 take it is but. The second is it's in the claim term,  
11 and it's a requirement, and if Abbott doesn't do it,  
12 Abbott doesn't do it, or if you can't prove it, you  
13 can't prove it. And, lastly, the fact that you took it  
14 out in the '239 patent is the answer to the question of  
15 whether the interpretation is broad as we suggest today.

16 So with that, Your Honor, unless there are  
17 any questions, we would rest on the briefs as to the  
18 remaining issues.

19 THE COURT: I don't believe I have any.  
20 Thank you, Mr. Lee.

21 MR. LEE: Thank you, Your Honor.

22 THE COURT: Rebuttal?

23 MS. ELDERKIN: Just a few comments, Your  
24 Honor.

25 THE COURT: Yes.

1 MS. ELDERKIN: Mr. Lee pointed to a slide  
2 where we had the claims that were originally presented  
3 in the '775 patent application, and those claims did say  
4 human antibody. I think the point that we'd like to  
5 make clear is that the term human antibody -- again, as  
6 the term human is construed by Centocor, and we think  
7 properly, human means derived from human DNA, but human  
8 just as a human antibody can include a humanized  
9 antibody. The term human antibody as used in that claim  
10 wasn't limited to a fully human antibody. So the claim  
11 didn't say fully human antibody. It said a human  
12 antibody. So it was not inconsistent that there was a  
13 subclaim that referred to there being CDR regions that  
14 were of nonhuman origin.

15 And I guess the only other thing I'd like to  
16 mention is Mr. Lee used the example of the jet engine  
17 and how the competitive inhibition would be unclear  
18 because we don't know if it's faster-faster like the jet  
19 engine. And I would submit that that's really not the  
20 proper analogy.

21 Both experts have testified in their  
22 depositions of excerpts have been provided in the briefs  
23 that those skilled in the art know from the result of a  
24 competition assay whether there's competition or not,  
25 and what Abbott is trying to do is to incorporate into

1 the claim the reason for the competition or the reason  
2 the jet engine is going faster, not that it is going  
3 faster or not, and that's not -- that's an improper  
4 thing to do with these particular claims.

5           Those skilled in the art would know when  
6 there's competition, and if they wanted to quantify it  
7 so that they compare one antibody to another, they could  
8 do so by mechanism by assays described in the Harlow  
9 reference, but it's not necessary to do so to know  
10 whether two antibodies compete. In fact, Dr. Marks,  
11 Abbott's expert, well, you look for a trend. If you  
12 don't see any competition at 15 percent or 5 percent, we  
13 look for a trend. We add more antibody and see if  
14 there's a trend. So it's not necessary to quantify it  
15 to know whether there's competition.

16           That's all I have.

17           THE COURT: What do you say about Mr. Lee's  
18 argument -- he cited me, I think, three or four cases  
19 about you can't criticize something in your -- and then  
20 claim it, I believe was basically what he was arguing to  
21 me about a couple of cases or three cases, and he's  
22 saying that in your original application, which you have  
23 reincorporated by reference, what do you say about that?

24           MS. ELDERKIN: Okay. A couple of things.  
25 First, I didn't mean to imply that we disagree that it's

1 incorporated by reference. It certainly is. It's just  
2 the text that was in the original application no longer  
3 appears in the patent, and I think there is relevance to  
4 that. I'm not aware of any cases that have ever  
5 addressed this issue.

6 THE COURT: I'm not either. I was hoping  
7 somebody was going to cite me one that said that exact  
8 thing. He did cite me three cases, I believe, that  
9 talked about, though, that you can't criticize something  
10 and then claim that which you have criticized was, I  
11 believe, what the principle was that he was arguing to  
12 me.

13 MS. ELDERKIN: There are cases about that,  
14 and I think that those particular cases are very  
15 different from what we have here. The criticism, so to  
16 speak, if you want to call it that, of human antibodies  
17 that appeared in the '827 application, the original  
18 application, was not that human antibodies are bad or we  
19 can't have human antibodies. That certainly wouldn't be  
20 part of our invention. It really wasn't criticism. It  
21 was saying, gee, it would be difficult to make these  
22 using the technology that Mr. Lee referred to, or you  
23 have to use a virus in a human and everything.

24 But elsewhere in the -- in the '827 spec,  
25 and I referred to this in my slides this morning, and,

1 I'm sorry, I don't have it in the top of my mind,  
2 elsewhere in the spec there is a disclosure of a way  
3 that one could use to make human antibodies. It's --  
4 it's in there in the original one.

5               So the -- we would disagree that it was  
6 criticism of human antibodies in the original  
7 specification. It was a reference to the fact that,  
8 gee, these would be hard to make, and there was another  
9 way to make them, and then, of course, when the  
10 application was refiled, there was even more information  
11 provided of all that of what was already known in the  
12 art.

13              I think the other thing that we would have  
14 to point out about this incorporation by reference, I  
15 agree it's incorporated by reference, but I think if we  
16 took everything that was incorporated by reference and  
17 laid it all out along with everything that's actually in  
18 the printed patent now, it would not lead to a clear  
19 conclusion that there was criticism and that anything  
20 was disavowed, because even if there were some criticism  
21 of human antibodies, and, again, we don't say that there  
22 was, elsewhere, there's reference to the human-human  
23 antibodies and to human antibodies. And looking at it  
24 all in total, one skilled in the art wouldn't walk away  
25 from that and say, oh, they've criticized human

1     antibodies, so this patent certainly can't encompass  
2     them.

3 THE COURT: Thank you.

4 MS. ELDERKIN: Thank you.

5 THE COURT: All right. I should -- this  
6 case is set in -- for jury selection on May the 29th,  
7 which is a Friday. We put this on -- jury selection on  
8 the 29th because counsel on one side or the other had  
9 some problems the first part of June, and I gave you a  
10 firm trial setting that we would go to trial on the week  
11 of the 15th.

12                   That's before the Court had a judicial  
13   conference committee that's been scheduled for the week  
14   of the 15th.  So the earliest you'll go to trial is June  
15   the 22nd.  I just want -- I know y'all -- I just hadn't  
16   picked up I had it specially set for the 15th, and I  
17   also had this later set matter that I had -- since those  
18   committees are reported by the Chief Justice, I try to  
19   attend those committees.

20 Yes?

21 MR. SAYLES: May it please the Court --

22 THE COURT: Now, wait a minute. Have you  
23 got another problem you want to tell me about?

24 MR. SALES: No.

25 THE COURT: I want to talk to you about



1 chimeric antibodies.

2 MR. SAYLES: Well, Judge, now, my job is to  
3 translate that into East Texas English language.

4 THE COURT: Well, let's -- you want to talk  
5 about that today, or you're not prepared?

6 MR. SAYLES: I'm working on that.

7 THE COURT: Okay. All right. What do you  
8 want to talk about, Mr. Sayles?

9 MR. SAYLES: Judge, in this case, as the  
10 Court knows from the file, we're not seeking an  
11 injunction, and I wanted to inquire if we can get some  
12 guidance on whether we would be expected to present the  
13 future damages to the jury or whether that will be a  
14 matter that will be handled depending on the verdict and  
15 post verdict.

16 THE COURT: Well, Judge Folsom is -- which  
17 case did he have where he tried to set the future  
18 damages and he did it wrong or something? What we have  
19 done in the past two cases is not take on the future  
20 damage questions just until the time of trial, and then  
21 try the future -- try them -- once we got the royalty  
22 rate, then we try them later.

23 MR. SAYLES: All right.

24 THE COURT: We direct -- at the time I enter  
25 a judgment, I generally direct the filing of a second

1 case, sever that.

2 MR. SAYLES: We knew that was the way that  
3 it had been done.

4 THE COURT: If y'all have got something  
5 better --

6 MR. SAYLES: No.

7 THE COURT: You know, I'm not wanting to try  
8 the case the second time. Don't get me wrong. I'm not  
9 looking for another case. I'm just -- that's what Judge  
10 Davis has done, I believe, and Judge Folsom has -- and I  
11 haven't gotten any clear direction from the Circuit  
12 to --

13 MR. SAYLES: And that's why I inquired. No,  
14 with 12 and a half hours a side to try a case involving  
15 recombinant chimeric antibodies --

16 THE COURT: Have I already set your hours  
17 per side?

18 MR. SAYLES: You did say 12 and a half, but  
19 we'll --

20 THE COURT: Why did I give you that many? I  
21 mean, since like I gave you an hour and a half -- were  
22 you saying that was too much Mr. Sayles?

23 MR. SAYLES: No, sir. I was not. I was  
24 saying that the way you normally handle future damages  
25 will be just fine.

1 THE COURT: Well, we can -- I mean, you  
2 know, if y'all think you're going to really need more  
3 than 12 and a half, you're going to need to tell me why.

4 MR. SAYLES: Yes, sir.

5 THE COURT: If you can't do it in -- you  
6 know, if you really can't, but up until now, I have yet  
7 to have anybody run out of time. The only case that  
8 came close, somebody actually went over about a minute  
9 and a half, but it was an antitrust case. It was not a  
10 patent case.

11 MR. SAYLES: Well, we're planning 12 and a  
12 half, Judge.

13 THE COURT: What do you think about that,  
14 Mr. Lee?

15 MR. LEE: I think we should be able to do  
16 that, Your Honor.

17 THE COURT: Okay. Agreement. I'm out of  
18 here.

19 COURT SECURITY OFFICER: All rise.

20 (Hearing concluded.)

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1 CERTIFICATION

2

3 I HEREBY CERTIFY that the foregoing is a  
4 true and correct transcript from the stenographic notes  
5 of the proceedings in the above-entitled matter to the  
6 best of my ability.

7

8

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SHELLY HOLMES	Date
Deputy Official Reporter	
State of Texas No.: 7804	
Expiration Date: 12/31/10	

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